



**Vertafore™**

Unleash your potential

# Compliance Express® for Agencies



## Using Compliance Express, you can:

- Stay out of hot water with regulators
- Get paid commissions from carrier partners
- Save time and avoid the errors associated with manual data-entry by using pre-populated producer data pulled from the PDB
- Bring licensing back in house without burden to staff or business
- Reduce the cost of producer compliance by improving staff productivity
- Access all 52 jurisdictions from one online service
- Complete one interview-style application, while Compliance Express completes all the state-required forms behind the scenes
- Easily identify when applications change status with the state (rather than manually checking each state website or waiting for the mail to come)
- Get discounted transaction fees and convenient, aggregated monthly billing
- Avoid compliance-related business delays

For more information on any of our products or services, please contact us at 877.876.4450 or visit our website at [www.vertafore.com/sircon](http://www.vertafore.com/sircon).

## Quickly perform licensing transactions in any jurisdiction and ensure producers are authorized to sell.

If a carrier or regulator questioned whether one of your agents was authorized to sell a certain piece of business, how easy would it be to demonstrate that the agent was in compliance? How confident would you be?

In the insurance industry, we know how to place a dollar value on peace of mind. If you're like most of our agency clients, you want to achieve ongoing regulatory compliance at the lowest possible cost, using the least amount of effort, so you can avoid market conduct reviews and be certain that the business you sell is processed and commissions are paid. But keeping your agents' licenses up to date is no easy task when you factor in the countless tedious tasks you must perform to achieve it.

### Single-Source Processing

Compliance Express is an online service that gives you peace of mind. It eliminates the need to process licensing transactions manually or by going to each state's website. The service provides direct electronic connections to all 50 state departments of insurance and the National Producer Database (PDB), so you can perform licensing transactions on behalf of your agency and its licensees to verify and maintain sales authorizations in a timely and cost-effective manner. Using Compliance Express, you can submit

applications and renewals to any state using one interface that automatically populates state-required forms behind the scenes, speeding transaction time and minimizing processing errors and manual data-entry.

### Accurate and Consistent Information

Compliance Express uses the industry's most advanced data synchronization technology, which allows you to submit state-required transactions using data pulled directly from the PDB. Access to this state-supplied data can also save you a significant amount of money because it enables you to increase staff efficiency, decrease submission errors, and remove processing delays to fend off lost business. If you attempt to renew a license that is not eligible for renewal, the software will prohibit you from processing the transaction and provide you with an error message so you know why you can't renew the license, saving you from paying unnecessary renewal fees.

## Unmatched Support from Regulatory Experts

Our unmatched support will add to your peace of mind because our industry-leading analysts stay on top of regulatory changes, so you don't have to. When regulations change, we will not only notify you, but our expert development team will incorporate changes right into the products, which will walk you through all the steps you need to take in any given state to achieve and maintain sales authorization.

If you have a question or an issue, there are a number of convenient ways to contact our support staff to request assistance, such as accessing the self-service portal, sending email, or calling the support hotline. No matter which method you choose, you can rest assured that a knowledgeable professional will be able to provide you with timely assistance.

## Message Center

Using Compliance Express, you won't waste time calling the states to check on the status of an application or wait for mailed responses. The Message Center gives you a high level of visibility into the status of applications by sending administrators proactive email notifications when an application they have submitted changes status with the state. Users can tell as

**“Compliance Express prompts us to submit all the information required in a given state, and lets us know if we are attempting to renew licenses that are not applicable to renew, saving us \$750 per year on unnecessary renewal fees. We have also eliminated the high service charges associated with outsourced managers, which saves us about \$2,000 per year.”**

— Kenneth B. Zieden-Weber, SVP & COO/CF0, Xchange Benefits, LLC

soon as an application is approved, or make instant corrections or respond with additional information, if an application is rejected or marked as “pending,” which allows agencies to process business faster.

## Convenient Monthly Billing

With Compliance Express, you pay all of your fees on one comprehensive monthly invoice. Monthly billing and pre-pay options enable you to pay for licensing transactions on a monthly basis, saving the time associated with issuing multiple payments to state Departments of Insurance (DOI). Cost centers allow you to allocate license application and renewal fees to customizable cost centers, making month end processing simple, rather than entering your own cost center information for every transaction to assist with reconciling monthly invoices.

## Time-Saving Features

Reports and inquiries track staff productivity and state responses, including:

- Production management
- Inquiry activity by submit date
- License application activity
- License renewal activity
- Individual association
- Letter of certification
- User friendly features that allow your system administrator to:
  - Maintain all login information for your staff members
  - Maintain user password security parameters (e.g., minimum length, determine when user must select a new password, case sensitivity)

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Vertafore is the leading provider of software and information to the insurance distribution channel, including independent agents, brokers, MGAs, carriers and reinsurers. Vertafore leverages a unique industry presence to deliver meaningful solutions—powerful technology, critical information and robust insights to help organizations effectively respond to business challenges and capture new opportunities. Vertafore solutions are helping more than 17,000 customers and 500,000 end users gain a competitive advantage to accelerate their business performance.